



銀行業核心人才培訓計畫國際課程

Advanced Training Program for Senior Bankers



Organizer  中華民國銀行公會
Bankers Association of R.O.C.

Executive Unit  台灣金融研訓院
TAIWAN ACADEMY OF BANKING AND FINANCE

2020 年銀行業核心人才培訓計畫國際課程



一、 舉辦背景：

本系列課程邀請具有豐富國際金融實務及教學經驗之專家擔任講座，依據銀行業核心人才職能發展需要，設計法令遵循、貿易融資、企業金融、風險管理、財務金融等五大領域課程，透過觀念講授、個案研討及模擬演練等多元化教學方式，強化銀行業人士專業能力，建立新觀念與新技巧。

二、 舉辦方式：

因應新冠肺炎疫情影響以及外籍講師入境限制，為達最大保護師生原則，本計畫 15 門課程將以 **遠距線上教學** 模式進行，配合線上教學需求，**參訓學員需自備具攝影機、麥克風及喇叭功能的電腦、筆記型電腦、平板或手機 (擇一使用)**。考量部分國際講師所在國家時差因素，課程劃分數個時段辦理，各項課程進行細節與相關注意事項，將於各活動課程開課前逕行通知參訓學員。

三、 參加對象：

1. 金融監督管理委員會、中央銀行等主管機關人員。
2. 銀行公會研訓基金提撥機構之從業人員，具 5~8 年金融實務經驗者。

四、 參加費用與人數：

本系列課程開放前述參加對象**免費參加**，每機構**正取 1 名、候補人數以 3 名為限**，每門課程上限 40 人。分配名額非保證錄取名額，本院保留統籌分配之權利。

五、 報名方式：

1. 由機構薦派線上報名，網址: <https://service.tabf.org.tw/site/talent/Index.aspx>
2. **全系列課程自 5 月 15 日上午 10 點起開放線上報名。**
3. 每機構僅提供一組報名專用帳號，建請指派專人統籌辦理報名事宜。
4. 線上報名帳號註冊洽詢事宜請洽(02)3365-3666 分機 614、615，Email：
regosd@tabf.org.tw

六、 其他事項：

1. 標示 **中文授課** 課程以中文授課，其他未特別標示之研習班均採 **英語授課**，**不提供口譯**，故建議派訓人員除了相關背景或工作經驗外，亦須具備**中級/中高級以上英語能力**，以達較佳培訓效果。
2. 各門課程均以遠距線上教學模式進行，參訓學員需自備具攝影機、麥克風及喇叭功能的電腦、筆記型電腦、平板或手機 (上述電子設備擇一使用，**建議使用電腦或筆記型電腦較為適宜**)，並請於課前進入線上會議室進行連線測試。
3. 各門課程上課時段不同，請務必確認派訓人員可配合上課時間，再行報名，或是替換可配合上課時間之人員參加課程，缺席課程者，恕不另行提供補課。
4. 請務必填妥報名人員可供聯繫的 **e-mail**，本院將於課前以 **e-mail** 發送課程通知(含會議室網址)，請留意是否收到郵件。本課程全程須使用網路(建議使用 Wi-Fi)，課程中採不定時點名，請務必留意課程中的訊息通知。
5. 本院保留變更本活動內容及講座、講題、時間安排等權利；課程資訊如有異動，將於報名專區網站公告，並以簡訊或 **e-mail** 通知學員。

風險管理/資金管理人才 (2 門)

日期&時間	時數	課程主題	報名截止日
7/6(一) – 7/9(四) 每天 14:00-17:30	14	銀行資產負債管理	6/22
8/3(一) – 8/6(四) < 暫定 > 每天 14:00-17:30	14	FRTB 新版市場風險資本計提模式	7/20

貿易融資人才 (3 門)

日期&時間	時數	課程主題	報名截止日
7/2(四) – 7/3(五) 每天 9:00-17:00	14	ISBP 與 UCP 實務案例解析 中文授課	6/18
8/31(一) – 9/1(二) 每天 9:00-17:00	14	ISP98、UCP600、URDG758 差異分析與實務案例解析 中文授課	8/17
9/28(一) – 9/29(二) 每天 9:00-17:00	14	信用狀詐騙實例與國際商會最新意見解析 中文授課	9/14

財務金融人才 (2 門)

日期&時間	時數	課程主題 & 認證時數	報名截止日
6/11(四) – 6/12(五) 6/15(一) – 6/16(二) 每天 14:00-17:30	14	利率結構型商品實務	6/8
9/15(二) – 9/18(五) 每天 14:00-17:30	14	外匯結構型商品實務	8/31

企業金融人才 (2 門)

日期&時間	時數	課程主題	報名截止日
8/17(一) – 8/20(四) 每天 14:00-17:30	14	國際聯貸實務	8/3
10/12(一) – 10/15(四) 每天 14:00-17:30	14	國際聯貸合約文件擬定與審閱	9/28

法令遵循人才 (6 門)

日期&時間	時數	課程主題 & 認證時數	報名截止日
6/4(四) – 6/5(五) 每天 14:00-17:30	7	國際銀行業法令遵循最新規範 (一) ※符合法令遵循人員之持續進修時數認可 7 小時	6/1
8/20(四) – 8/21(五) 每天 14:00-17:30	7	國際銀行業法令遵循最新規範 (二) ※符合法令遵循人員之持續進修時數認可 7 小時	8/6
8/25(二) – 8/28(五) 每天 14:00-17:30	14	如何有效防範貿易融資洗錢活動 ※符合銀行業防制洗錢及打擊資恐在職訓練 14 小時進修認可	8/11
9/14(一) – 9/17(四) 每天 14:00-17:30	14	衍生性金融商品合約與 ISDA 規範契約條款及爭議協商	8/31
9/21(一) – 9/24(四) 每天 14:00-17:30	14	金融犯罪防制與國際制裁(Sanction)實務 ※符合銀行業防制洗錢及打擊資恐在職訓練 14 小時進修認可	9/7
11/2(一) – 11/5(四) 每天 14:00-17:30	14	國際洗錢防制實務 ※符合銀行業防制洗錢及打擊資恐在職訓練 14 小時進修認可	10/19

2020 年銀行業核心人才 培訓計畫國際課程

【四大特色】

國際講師

國際師資英語教學，拓展視野

遠距上課

防範疫情，省去通勤往返時間

個案研討

線上互動提問，學習效果不打折

專業交流

建立專業人脈，結識國際專家

「課程內容與提供案例非常精采」

「課程內容多元，而且有趣」

「講師知識豐富，教學認真」

—2019 年參訓人員心得節錄

銀行資產負債管理

Asset Liabilities Management in Banks

7/6 (一) ~ 7/9 (四) 每天 14:00-17:30

適合具 5~8 年財務、資金管理、風險管理等實務經驗之銀行從業人員

- The regulatory requirements for ALM
- Interest rate risk management strategy and best practices
- Liquidity risk management strategy and best practices
- Funds Transfer Pricing (FTP)

Hamish's training coverage includes macroeconomics, banking, cards and payments, capital markets, risk and regulation. Hamish started his career in the capital markets and spent 20 years in trading and sales working for a number of banks in London including UBS, WestLB, Nationsbank (now part of BofAML) and IntesaSanPaolo. During this time, he was active in both primary and secondary markets covering a range of asset classes including credit, structured credit (ABS, MBS, CLOs), rates, FX, derivatives and equities.

Hamish was Global Head of Financial training at Barclays Bank for 10 years. During this time, he managed, designed and delivered the global colleague curriculum and designed and delivered the global graduate markets programs which covered a broad range of content related to banking, markets, asset classes, cards and payments, risk and regulation.

法令遵循人才

參加人員

課程大綱

講師簡介

David Van Spall

金融犯罪防制與國際制裁 (Sanction)實務

Financial Crime Prevention and International Sanction

符合銀行業防制洗錢及打擊資恐在職訓練 14 小時進修認可

9/21 (一) ~ 9/24 (四) 每天 14:00-17:30

適合具 5~8 年 反洗錢、法令遵循、稽核、內控 等實務經驗之銀行從業人員

- Financial Crime Typologies and Discussion
- Techniques & Banking Products that are vulnerable to criminality
- International Sanctions & Terrorist Financing
- Case Studies – HSBC & BNP Paribas
- What are the Red Flags that Banks must be aware of
- What does Terrorist Financing vary from Money Laundering?
- What is Proliferation Financing & How does it vary to Terrorist Financing
- Case Study from Region & International Examples

David is a highly experienced Financial Crime & Compliance professional trainer with more than 25 years experiences in Financial Crime & Compliance Risk Management in Global Banking. He holds International Compliance Association (ICA) Diploma level in AML, Sanctions, Financial Crime Prevention. Currently trains Danskebank (Denmark) & Nordea (Nordic countries), Financial Conduct Authority UK (FCA - UK Regulator), Deloitte UK and KPMG UK. Recently involved in four-year Compliance training project (2013-8) with HSBC managing & writing materials as well as delivering training in respect of AML & Sanctions guidance and training globally.

衍生性金融商品合約與 ISDA 規範契約條款及爭議協商

Analysis of ISDA Master Agreement and Credit Support Annex and Negotiation Skills

9/14 (一) ~ 9/17 (四) 每天 14:00-17:30

適合具 5~8 年從事衍生性金融商品之交易或設計、風險管理、衍生性金融商品相關稽核工作 等業務之銀行從業人員

- ISDA Documentation Architecture
- Types of Transactions covered by the ISDA Master Agreement
- Exercise: Case Study - ISDA Schedule
- Background of collateral
- The ISDA Credit Support Annex (English law)
- Credit Input in Collateral Arrangements
- Legal issues relating to collateral
- SIMM and its applications
- Exercise: Case Study - Par. 11 of Credit Support Annex

Mark has worked for and advised all major Dutch financial institutions (Rabobank, ING Bank and ABN AMRO Bank) and energy companies (Nuon and Essent) on financial and energy derivatives agreements and ancillary documentation.

In 2009, Mark co-drafted a suite of derivative contracts, related policies and procedures for the "new" ABN AMRO Bank that was separated from Royal Bank of Scotland and organized and supervised the novation of hundreds of ISDA Master Agreements in view of the legal demerger of the bank.

利率結構型商品實務

Interest Rate Structured Products

6/11 (四)、6/12 (五)、6/15 (一)、6/16 (二)

每天 14:00-17:30

適合具 5~8 年從事衍生性金融商品之交易或設計、風險管理、衍生性金融商品相關稽核工作 等業務之銀行從業人員

- Investor Appetite & Case Study
- Key Features and Profiles of Common Interest Rate Structured Products
- Structured Deposits
- Structured Notes
- Non-Capital Protected Investments
- Regulations – Recent Developments in Non-Japan Asia
- Distribution
- New Developments in IR Structures

Jason Scott's training capabilities include derivatives, financial markets, structured products, treasury, hedge funds, operational risk, market risk, conduct risk and compliance, clearing, and capital markets products.

His most recent banking experience was as Managing Director Head of Listed Derivatives and OTC Clearing for Deutsche Bank in APAC. He has hands on experience at a senior level. He was responsible for management of the derivative sales teams and the regulatory and compliance performance of the business across APAC. He has had a 20-year banking career in the APAC region.

貿易融資人才

參加人員

課程大綱

講師簡介

蘇志成

ISBP 與 UCP 實務案例解析

Latest ISBP and UCP Revision and Case Study

7/2(四) ~ 7/3(五) 每天 09:00-17:00

適合具 5~8 年 企業金融、貿易融資、OBU、外匯 等實務經驗之銀行從業人員

※ 本課程以中文授課

- ISBP 與 UCP 的關係
- ISBP745 對商業發票和貨物檢驗證明書在貨物品質的接受程度有不同的標準
- 簽發信用狀應考慮的事項
- 審單標準
- 繼續審單標準
- 開狀銀行與指定銀行的關係
- 審單與付款的時限
- 拒付通知：案例討論
- 提示之有效期：案例討論
- Incoterms 2020 新版國貿條規
- DOCDEX 案例
- 法院判例與討論

蘇志成博士為新加坡知名貿易融資權威

經歷：

- 新加坡 DCTrade 顧問公司總裁
- 新加坡大華銀行副總裁及貿易服務總監
- 馬來西亞崇僑銀行副總裁及國際貿易服務及匯兌總監
- ICC 國際商會銀行技術及實務委員會新加坡銀行公會代表
- UCP600 起草委員會成員

國際聯貸實務

International Syndicated Loan: Case studies and applications in Asia

8/17 (一) ~ 8/20 (四) 每天 14:00-17:30

適合具 5~8 年企業金融、企業徵授信等實務經驗之銀行從業人員

- Introduction of International Syndicated Loan
- Structural Analysis
- Documents and Analyzing Borrower's Cost
- Risks in Syndicated Loan and How to Manage the Risks
- Considerations for the Arranger

Michael specializes in Credit Risk Management programmes : Policy & Marketing, Structuring & Evaluation, Rating Methodologies, Analysis & Approval, Collateral & Documentation, Restructuring and Work Out for different levels of management including Country CEOs and MDs. He is familiar with diverse banking businesses: Corporate & Investment Banking, SME Lending, Trade Finance, Transaction Banking and Retail Financing as well as Insurance and Micro Finance. Michael's career with financial institutions spanned over 30 years with DBS, ABN, CAI and AIG Group. As the Chief Credit & Chief Risk Officer overseeing the Asia Pacific Region, he was in charge of GRC ERM, Credit and Investment Approval, and Risk Management Operations for the Asia Pacific Region. He has managed diverse countries and portfolios covering Corporate & Investment Banking, Commercial SMEs Lending and Consumer Retail.

外匯結構型商品實務

FX Structured Products

9/15(二) ~ 9/18 (五) 每天 14:00-17:30

適合具 5~8 年金融商品交易、金融商品企劃、風險管理、財務操作等實務經驗之銀行從業人員

- Overview of Structured Products
- The Dual Currency Investments (DCI/DCD)
- Exotic Option-Embedded Structured Product
- Barrier Options-Embedded Structured Product
- FX Accumulators
- Digital Options (Binary Options)
- Second Generation Exotic Options – Compound Options
- Technical Analysis

Philip Eden is the EVP (Asia) for Intuition and a Senior Learning Consultant, specializing in capital and wholesale markets. In his EVP role Philip is responsible for leadership and strategy, growing our offering within the region.

As a Senior Learning Consultant, Philip creates and delivers courses that are aimed at increasing knowledge and enhancing performance in the workplace. He believes education should be interactive, collaborative, fun and practical.

Philip entered the financial markets in 1979 and has 27 years of front office experience with major banks in London, Sydney, Tokyo and Singapore. His most recent post was as Director of Global Money Markets with CSFB Tokyo & Singapore; prior to that he was Director and Head of Trading, Rates for UBS Singapore and Chief Dealer for Mitsubishi Bank London.

法令遵循人才

參加人員

課程大綱

講師簡介

Sam Gibbins

國際銀行業法令遵循最新規範(一)

International Banking Compliance I

符合法令遵循人員之持續進修時數 7 小時認可

6/4 (四) ~ 6/5 (五) 每天 14:00-17:30

適合具 5~8 年 反洗錢、法令遵循、稽核、內控 等實務經驗之銀行從業人員

- Brief updates on the latest regulations in the U.S.
 - 2019 Update
 - Shift to Non-Financial Risk (NFR)
 - Developing Financial Crime Compliance requirements
- The Volcker Rule 2.0
 - Original Volcker Rule vs. Newly Revised Volcker Rule
 - Preparing for the Volcker Rule
- GDPR and its impact on banking operations
 - Data Privacy Problems, Controllers & Processors, Subject Rights, Organizing Data Protection

Sam Gibbins is the Founder of complilearn, providing specialist and advanced Compliance learning to clients globally. He has extensive experience delivering content across a wide range of Governance, Risk, and Compliance areas, to a variety of industry sectors. Sam has been based in Singapore for nearly nine years working on consulting, learning and development across a range of public and private institutions. Previously Sam was the General Manager, Asia, for Governance Risk & Compliance Solutions, building the corporate presence in the Asian market across a wide and varied client base, for both facilitated workshop and online legal and compliance training solutions.

法令遵循人才

參加人員

課程大綱

講師簡介

Dr. Ramandeep Chhina

國際銀行業法令遵循最新規範(二)

International Banking Compliance II

符合法令遵循人員之持續進修時數 7 小時認可

8/20 (四) ~ 8/21 (五) 每天 14:00-17:30

適合具 5~8 年 反洗錢、法令遵循、稽核、內控 等實務經驗之銀行從業人員

- Cryptocurrencies and Bitcoin, Blockchain and Wallets
 - Regulating Cryptocurrencies
 - Blockchain Analysis – Financial Crime Risks and Prevention
 - Confiscating Cryptocurrencies – Silk Road and Case Study
 - Cloud Data Applications in Banking – Usefulness and related Legal Compliance Issues
 - Artificial Intelligences for Compliance in Banking and related Legal Compliance Issues
- Consultant, EU/Council of Europe Partnership for Good Governance Georgia Project «Combating Money Laundering and Terrorist Financing» (PGGGE)

Dr. Chhina did her second masters (MSt in Legal Research) and doctorate (DPhil) from the University of Oxford. She is a qualified advocate in India and also a qualified non-practising solicitor of England and Wales, UK. Dr. Chhina's research interests are in the area of transnational commercial law with particular focus on the issues surrounding abstract payment undertakings used in international commercial transactions i.e. letters of credit and demand guarantees.

法令遵循人才

參加人員

課程大綱

講師簡介

Dr. Ramandeep Chhina

如何有效防範貿易融資洗錢活動

Combating Trade-based Money Laundering

銀行業防制洗錢及打擊資恐在職訓練 14 小時進修認可

8/25 (二) ~ 8/28 (五) 每天 14:00-17:30

適合具 5~8 年 反洗錢、法令遵循、稽核、OBU、進出口、外匯 等實務經驗之銀行從業人員

- Why we need to talk about Trade Based Money Laundering (TBML)
- TBML techniques – identifying key red flags in trade finance transaction
- Best Practices to identify and prevent TBML
- Examination of Letters of Credit: How and what are the details we might have missed?
- Policy and Issues – review of regulatory trends from the UK, US and Asia

- Consultant, EU/Council of Europe Partnership for Good Governance Georgia Project «Combating Money Laundering and Terrorist Financing» (PGGGE)

Dr. Chhina did her second masters (MSt in Legal Research) and doctorate (DPhil) from the University of Oxford. She is a qualified advocate in India and also a qualified non-practising solicitor of England and Wales, UK. Dr. Chhina's research interests are in the area of transnational commercial law with particular focus on the issues surrounding abstract payment undertakings used in international commercial transactions i.e. letters of credit and demand guarantees.

參加人員

課程大綱

講師簡介

Susan Wong

國際聯貸合約文件擬定與審閱

International Syndicated Loan: Preparation and Review of the Agreement

10/12(一) ~ 10/15(四) 每天 14:00-17:30

適合具 5~8 年 企業金融、企業徵授信、法務、海外分行 等實務經驗之銀行從業人員

- Key elements in a syndicate agreement
- Common clauses in a syndicate agreement, and how to negotiate and examine the wording of the clause
- How to prepare and to review the necessary documents
- Terms and conditions in the documents that a banker should be careful about
- What will the clauses differ for a lead bank/ participating banks bank

Susan Wong is a Partner of WongPartnership, an award-winning law firm headquartered in Singapore. Susan heads the Financial Services Group, she is also the Head of the Banking & Finance Practice. She has more than 30 years of experience in banking and finance work and is involved in a wide range of local and international loan and other debt-related transactions including project, acquisition, real estate, investment and business trusts, asset and asset-backed and Islamic financing, syndicated and club loans, cross-border financing, risk and funded subparticipations, securitisations, sale of loans and receivables and security arrangements and documentation.

Susan graduated from the National University of Singapore and was admitted to the Singapore Bar in 1987. She was named Best Banking and Finance lawyer in Singapore in *Expert Guides: Best of the Best 2015, 2017 and 2019*.

ISP98、UCP600、URDG758

差異分析與實務案例解析

Latest ISP98, UCP600 and URDG758 Comparison and Case Study

8/31 (一) ~ 9/1 (二) 每天 09:00-17:00

適合具 5~8 年 企業金融、貿易融資、OBUE、外匯 等實務經驗之銀行從業人員

※ 本課程以中文授課

- Independent Undertakings
- Obligations of Parties to an Independent Undertaking
- Timely Presentation of Documents
- Examination and Compliance of Documents Presented
- Honour and Dishonour
- Miscellaneous Issues
- Incoterms 2020

蘇志成博士為新加坡知名貿易融資權威

經歷：

- 新加坡 DCTrade 顧問公司總裁
- 新加坡大華銀行副總裁及貿易服務總監
- 馬來西亞崇僑銀行副總裁及國際貿易服務及匯兌總監
- ICC 國際商會銀行技術及實務委員會新加坡銀行公會代表
- UCP600 起草委員會成員

FRTB 新版市場風險

資本計提模式

Fundamental Review of the Trading Book

8/3 (一) ~ 8/6 (四) 每天 14:00-17:30 <暫定>

適合具 5~8 年 交易室、風險管理、中台、資金管理、財務策略 等實務經驗之銀行從業人員

- Introductory Remarks of FRTB
- Market Risk Overview : Main Concept
- FRTB Overview - Core Implications
- Risk Measurement under the Standardized Approach
- Internal Model Approach (IMA) – Overview
- Regulatory Consistency Assessment Programme: RWA for Market Risk in the Trading Book
- Case Studies

Dr. Guan Seng Khoo is a risk management specialist with over 20 years' experience in the education, design and implementation of enterprise-wide risk management models, systems, and processes. He was in charge of, and has implemented, enterprise risk management systems at five financial institutions, including Temasek Holdings, Singapore; Alberta Investment Management Corporation, Canada; RHB Capital, Malaysia; CAI, Singapore and Standard Chartered Bank, Singapore, where he was the Global Head & Managing Director, Group Risk Analytics, heading a global team that validated all global risk models for Basel and regulatory compliance; and has liaised with all the global financial regulators.

國際洗錢防制實務

International Anti-Money Laundering Practices

11/2 (一) ~ 11/5 (四) 每天 14:00~17:30

符合銀行業防制洗錢及打擊資恐在職訓練 14 小時進修認可

適合具 5~8 年反洗錢、法令遵循、稽核、內控、作業風險管理 等實務經驗之銀行從業人員

- International Money Laundering Frameworks and Conventions
- Overview of the Risk-Based Approach
- Financial Action Task Force and Global Regulatory Infrastructure
- The need for Internal Controls
- KYC Due Diligence
- On-Boarding and Beneficial Ownership
- Transaction Monitoring

Sam Gibbins is the Founder of complilearn, providing specialist and advanced Compliance learning to clients globally. He has extensive experience delivering content across a wide range of Governance, Risk, and Compliance areas, to a variety of industry sectors. Sam has been based in Singapore for nearly nine years working on consulting, learning and development across a range of public and private institutions. Previously Sam was the General Manager, Asia, for Governance Risk & Compliance Solutions, building the corporate presence in the Asian market across a wide and varied client base, for both facilitated workshop and online legal and compliance training solutions.

信用狀詐騙實例與國際商會 最新意見解析

Letter of Credit Fraud and the Latest ICC Opinions

9/28 (一) ~ 9/29 (二) 每天 09:00-17:00

參加人員

適合具 5~8 年 企業金融、貿易融資、OBU、外匯 等實務經驗之銀行從業人員

課程大綱

※ 本課程以中文授課

- Common Types of LC Fraud
- Inspection on LC Fraud
- How to Prepare and Present Evidence on Court
- Case Studies on the Latest ICC Opinions
- Incoterms 2020

講師簡介

蘇志成

蘇志成博士為新加坡知名貿易融資權威

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