

2021年

銀行業核心人才培訓計畫國際課程

International Advanced Banking Training Program



2021 年銀行業核心人才培訓計畫國際課程

一、舉辦背景

本系列課程邀請具有豐富國際金融實務及教學經驗之專家擔任講座，依據銀行業核心人才職能發展需要，規劃 **法令遵循、貿易融資、企業金融、數位風險管理、財務金融、ESG 永續金融** 等六大領域課程，透過觀念講授、個案研討及模擬演練等多元化教學方式，強化銀行業人士專業能力，建立新觀念與新技巧。

二、舉辦方式

因應新冠肺炎疫情影響以及外籍講師入境限制，為達最大保護師生原則，本計畫 24 門課程均以**遠距線上教學**模式進行，配合線上教學需求，參訓學員需自備具攝影機、麥克風及喇叭功能的電腦、筆記型電腦、平板或手機 (擇一使用)。考量部分國際講師所在國家時差因素，課程劃分數個時段辦理，各項課程進行細節與相關注意事項，將於各活動課程開課前逕行通知參訓學員。

三、參加對象

- 1.金融監督管理委員會、中央銀行等主管機關人員。
- 2.銀行公會研訓基金提撥機構之從業人員，具 5~8 年金融實務經驗者。

四、參加費用與人數

本系列課程開放前述參加對象 **免費參加**，每機構正取1名、候補人數以3名為限，每門課程上限40人。分配名額非保證錄取名額，本院保留統籌分配之權利。

五、報名方式

1. 由機構薦派線上報名
(網址: <https://service.tabf.org.tw/site/talent/Index.aspx>)
2. 本系列課程自 **2021年4月28日(三) 下午2點起** 開放線上報名。
3. 每機構僅提供一組報名專用帳號，建請指派專人統籌辦理報名事宜。
4. 線上報名帳號註冊洽詢事宜請洽(02)3365-3666 分機 614、610，或
Email : regosd@tabf.org.tw

六、其他事項

1. 標示 **中文授課** 課程將採中文授課，其他未特別標示之研習班均採英語授課，不提供口譯，故**建議派訓人員**除了滿足相關背景或具有相關工作經驗外，亦須具備中級或中高級以上英語能力，以達較佳培訓效果。
2. 各門課程均以遠距線上教學模式進行，參訓學員需自備具攝影機、麥克風及喇叭功能的電腦、筆記型電腦、平板或手機 (上述電子設備擇一使用，**建議使用桌上型電腦或筆記型電腦較為適宜**)，並請於課前進入線上會議室進行連線測試。
3. 各門課程上課時段不同，請務必確認派訓人員可全程配合上課時間，再行報名，或是請於課程開課前，替換可配合上課時間之人員參加課程。
4. **缺席課程者，恕不另行提供補課。**
5. 請務必填妥報名人員可供聯繫的 e-mail，本院將於課前以 e-mail 發送課程通知(含會議室網址)，請留意是否收到郵件。本課程全程須使用網路(建議使用Wi-Fi)，課程中採不定時點名，請務必留意課程中的訊息通知。
6. 本院保留變更本活動內容及講座、講題、時間安排等權利；課程資訊如有異動，將於報名專區網站公告，並以簡訊或 e-mail 通知學員。

2021 年銀行業核心人才培訓計畫國際課程



“

● 我個人認為能與先進及老師一起交流是非常值得的, 非常感謝老師及先進們。

● 老師講解很詳細, 非常用心, 對工作的專業知識非常有幫助。

● 講師很棒, 獲益良多!

”

● 2020 年參訓人員心得節錄

貿易融資人才 (4門)

日期&時間	時數	課程主題	報名截止日
5/24(一) - 5/25(二) 每天 09:00-17:00	14	中文授課 ISBP 與 UCP 實務案例解析 ※符合 CDCS 證照持續進修 14 PDU 學分認可	5/20
7/1(一) - 7/2(二) 每天 09:00-17:00	14	中文授課 ISP98、UCP600、URDG758 差異分析與實務案例解析 ※符合 CDCS 證照持續進修 14PDU 學分認可	6/17
7/19(一) - 7/20(二) 每天 09:00-17:00	14	中文授課 信用狀詐騙實例與國際商會最新意見解析 ※符合 CDCS 證照持續進修 14PDU 學分認可	7/5
8/27(五) 09:00-17:00	7	中文授課 全球大宗物資融資詐騙案例與風險防範 ※符合 CDCS 證照持續進修 7PDU 學分認可	8/13

數位風險管理人才 (1門)

日期&時間	時數	課程主題	報名截止日
11/15(一) - 11/16(二) 每天 09:00-17:00 (暫定)	14	資訊安全風險管理	11/1

財務金融人才 (4門)

日期&時間	時數	課程主題	報名截止日
6/23(三)、6/25(五) 6/28(一)、6/29(二) 每天 14:00-17:30	14	利率結構型商品實務	6/8
7/22(四)、7/23(五) 7/29(四)、7/30(五) 每天 14:00-17:30	14	LIBOR 退場的影響與挑戰解析	7/8
8/10(二) - 8/13(五) 每天 14:00-17:30	14	外匯結構型商品實務	7/27
8/25(三)、8/26(四) 8/30(一)、8/31(二) 每天 14:00-17:30	14	銀行資產負債管理	8/11

企業金融人才 (3門)

日期&時間	時數	課程主題	報名截止日
8/16(一)、8/17(二) 8/19(四)、8/20(五) 每天 14:00-17:30	14	國際聯貸實務	8/2
8/19(四)、8/20(五) 8/23(一)、8/24(二) 每天 14:00-17:30	14	國際聯貸合約文件擬定與審閱	8/5
9/30(四)、10/5(二) 每天 9:00-12:30	7	國際聯貸市場解析	9/14

法令遵循人才 (5 門)

日期&時間	時數	課程主題	報名截止日
6/3(四)、6/4(五) 6/7(一)、6/8(二) 每天 14:00-17:30	14	如何有效防範貿易融資洗錢活動 ※符合銀行業防制洗錢及打擊資恐在職訓練 14 小時進修認可	5/20
6/16(三)、6/17(四) 6/18(五)、6/21(一) 每天 14:00-17:30	14	國際洗錢防制實務 ※符合銀行業防制洗錢及打擊資恐在職訓練 14 小時進修認可	6/1
8/23(一) - 8/26(四) 每天 14:00-17:30	14	金融犯罪防制與國際制裁(Sanction)實務 ※符合銀行業防制洗錢及打擊資恐在職訓練 14 小時進修認可	8/9
9/23(四)、9/24(五) 9/27(一)、9/28(二) 每天 14:00-17:30	14	衍生性金融商品合約與 ISDA 規範契約條款及爭議協商	9/8
10/18(一) - 10/19(二) 每天9:00-12:30 (暫定)	7	美國法令遵循最新規範與案例解析 ※符合銀行業防制洗錢及打擊資恐在職訓練 7 小時進修認可	10/4

ESG 永續金融人才 (7 門)

日期&時間	時數	課程主題	報名截止日
6/28(一) - 7/1(四) 每天14:00-17:30	14	ESG 國際資訊揭露標準	6/11
7/5(一) - 7/6(二) 每天 14:00-17:30	7	ESG 導入制度流程	6/21
7/21(三) - 7/22(四) 每天14:00-17:30	7	公司治理實務 ※本課程為董監事/公司治理法定認可班次	7/7
8/16(一) - 8/17(二) 每天14:00-17:30	7	ESG 投資方法與風險評估	8/2
8/19(四) - 8/20(五) 每天14:00-17:30	7	可再生能源模型	8/5
9/15(三) - 9/16(四) 每天14:00-17:30	7	ESG 投資發展現況	9/2
9/16(四) - 9/17(五) 每天14:00-17:30	7	ESG 會計揭露原則與方法	9/3

貿易融資人才

ISBP 與 UCP 實務案例解析

Latest ISBP and UCP Revision and Case Study

5/24 (一) ~ 5/25 (二) 每天 09:00-17:00

參加人員

適合具 5~8 年 企業金融、貿易融資、OBU、外匯 等實務經驗之銀行從業人員

課程大綱

※ 本課程以中文授課

※ 符合 CDCS 證照持續進修認證課程資格 14 PDU

- ISBP 與 UCP 的關係
- ISBP745 對商業發票和貨物檢驗證明書在貨物品質的接受程度有不同的標準
- 簽發信用狀應考慮的事項
- 審單標準
- 繼續審單標準
- 開狀銀行與指定銀行的關係
- 審單與付款的時限
- 拒付通知：案例討論
- 提示之有效期：案例討論
- Incoterms 2020 新版國貿條規
- DOCDEX 案例
- 法院判例與討論

講師介紹 蘇志成

蘇志成博士為新加坡知名貿易融資權威

經歷：

- 新加坡 DCTrade 顧問公司總裁
- 新加坡大華銀行副總裁及貿易服務總監
- 馬來西亞崇僑銀行副總裁及國際貿易服務及匯兌總監
- ICC 國際商會銀行技術及實務委員會新加坡銀行公會代表
- UCP600 起草委員會成員

貿易融資人才

ISP98、UCP600、URDG758 差異分析與實務案例解析

Latest ISP98, UCP600 and URDG758 Comparison and Case Study

7/1 (四) ~ 7/2 (五) 每天 09:00-17:00

參加人員

適合具 5~8 年 企業金融、貿易融資、OBU、外匯 等實務經驗之銀行從業人員

課程大綱

※ 本課程以中文授課

※ 符合 CDCS 證照持續進修認證課程資格 14 PDU

- Independent Undertakings
- Obligations of Parties to an Independent Undertaking
- Timely Presentation of Documents
- Examination and Compliance of Documents Presented
- Honour and Dishonour
- Miscellaneous Issues

講師介紹 蘇志成

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- 新加坡大華銀行副總裁及貿易服務總監
- 馬來西亞崇僑銀行副總裁及國際貿易服務及匯兌總監
- ICC 國際商會銀行技術及實務委員會新加坡銀行公會代表
- UCP600 起草委員會成員

貿易融資人才

信用狀詐騙實例與國際商會 最新意見解析

Letter of Credit Fraud and the Latest ICC Opinions

7/19 (一) ~ 7/20 (二) 每天 09:00-17:00

參加人員

適合具 5~8 年 企業金融、貿易融資、OBU、外匯 等實務經驗之銀行從業人員

課程大綱

※ 本課程以中文授課

※ 符合 CDCS 證照持續進修認證課程資格 14 PDU

- 信用狀詐欺
- 信用狀的獨立原則
- 銀行拒付的情況
- 法院判例分享 (一)
- 法院判例分享 (二)
- 法院判例分享 (三)
- 國際商會銀行委員會最新官方意見

講師介紹
蘇志成

蘇志成博士為新加坡知名貿易融資權威

經歷：

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- 馬來西亞崇僑銀行副總裁及國際貿易服務及匯兌總監
- ICC 國際商會銀行技術及實務委員會新加坡銀行公會代表
- UCP600 起草委員會成員

貿易融資人才

全球大宗物資融資詐騙案例與風險防範

Global Commodity Trade Financing Fraud Cases and Risk Prevention

8/27 (五) 09:00-17:00

參加人員

適合具 5~8 年 企業金融、貿易融資、OBU、外匯 等實務經驗之銀行從業人員

課程大綱

- ※ 本課程以中文授課
- ※ 符合 CDCS 證照持續進修認證課程資格 7 PDU
- 信用狀詐欺
- 全球大宗物資融資詐騙的常見手法
- 虛假文件與重複利用文件套現之案例解析
- 法院判例解析
- 貿易融資詐騙的防範措施

講師介紹 蘇志成

蘇志成博士為新加坡知名貿易融資權威

經歷：

- 新加坡 DCTrade 顧問公司總裁
- 新加坡大華銀行副總裁及貿易服務總監
- 馬來西亞崇僑銀行副總裁及國際貿易服務及匯兌總監
- ICC 國際商會銀行技術及實務委員會新加坡銀行公會代表
- UCP600 起草委員會成員

數位風險管理人才

資訊安全風險管理

Information Security Risk Management for Banks

(暫定) 11/15 (一) ~ 11/16 (二) 每天 09:00-17:00

參加人員

適合具 5~8 年 法令遵循、風險管理、內控、稽核 等實務經驗之銀行從業人員

課程大綱

- Cyber Threats and Cyber Security
- Introduction to Information Security Risk Management
- Information Security Risk Assessment Methodology
- Third-party Risk Management (TPRM) Framework covering outsourcing to service providers and vendors
- Compliance Best Practices for Information Security Risk Management – Cloud, Open API, and Information Security in Banking

講師介紹



敬邀中

財務金融人才

利率結構型商品實務

Interest Rate Structured Products

6/23 (三)、6/25 (五)、6/28 (一)、6/29 (二) 每天 14:00-17:30

參加人員

適合具 5~8 年 金融商品交易、金融商品企劃、風險管理、財務操作 等實務經驗之銀行從業人員

課程大綱

- Building Blocks for Structured Investments
- Developing Structured Products
- Core Principles
- Product categories and applications
- Exposure Hedging and Control
- Practical Group Exercises
- Creating Participation Products
- Course Review

講師介紹

Craig Williams

Craig's wide ranging banking and commercial experience provide him with a broad platform to deliver training across an extensive curriculum. He has trained the majority of global banks that have operations in Asia, and also regulators in the main financial centers. His global markets products programs cover cash and derivatives, including securitization, up to advanced levels.

Spreadsheet modelling and VBA programming together with financial writing and editorial courses provide the desktop tools for analysts. His proficiency in fixed income trading, new issue financing and asset management ensure that he delivers practical and engaging courses.

財務金融人才

參加人員

課程大綱

LIBOR 退場的影響與挑戰解析

LIBOR Transition: The Challenges and Impact

7/22 (四)、7/23 (五)、7/29 (四)、7/30 (五) 每天 14:00-17:30

適合具 5~8 年 金融市場、金融商品交易、風險管理、中台 等實務經驗之銀行從業人員

- Why is LIBOR important
- The impact on discontinuation of LIBOR and some corresponding measures
- LIBOR replacement: how to choose alternative reference rates, the key characteristic and reliability
- The implications of LIBOR replacement for financial institutions
- The accounting impact of the LIBOR transition
- The challenges for financial institutions and necessary countermeasures

講師介紹

Dr. Guan Seng Khoo

Dr. Guan Seng Khoo is a risk management specialist with over 20 years' experience in education, design and implementation of enterprise-wide risk management models, systems, and processes. He was in charge of and has implemented enterprise risk management systems at five financial institutions, including Temasek Holdings, Singapore; Alberta Investment Management Corporation, Canada; RHB Capital, Malaysia; CAI, Singapore and Standard Chartered Bank, Singapore, where he was the Global Head & Managing Director, Group Risk Analytics, heading a global team that validated all global risk models for Basel and regulatory compliance.

財務金融人才

外匯結構型商品實務

FX Structured Products

8/10 (二) ~ 8/13 (五) 每天 14:00-17:30

參加人員

適合具 5~8 年 金融商品交易、金融商品企劃、風險管理、財務操作 等實務經驗之銀行從業人員

課程大綱

- Overview of Structured Products
- Review of Forward and Option Principles
- Exotic Option-Embedded Structured Product
- Barrier Options (Knock-In & Knock-Out)
- FX Accumulators
- Digital Options (Binary Options)
- Second Generation Exotic Options
- Review of Currency-Linked Structures
- Case Studies

講師介紹 Andrew Doyle

Andrew has over 20 years of international financial markets experience in Asia and Australia. Andrew is based in Singapore and is one of Intuition's associate trainers. He remains active in the areas of equity, currency, interest rates, credit and derivative trading and marketing as well as operational and technological support providing advice to governments, banks and corporations, including many in Greater China.

His previous experience includes being Regional Marketer for Derivatives at ABN AMRO Bank in Singapore. His responsibilities included structuring and pricing complex derivative risk management solutions for bank, corporate and government customers as well as training and new product development.

財務金融人才

銀行資產負債管理

Asset Liabilities Management in Banks

8/25 (三)、8/26 (四)、8/30 (一)、8/31 (二) 每天 14:00-17:30

參加人員

適合具 5~8 年 財務、資金管理、風險管理 等實務經驗之銀行從業人員

課程大綱

- Interest rate risk management strategy and best practices
- Liquidity risk management strategy and best practices
- Funds Transfer Pricing (FTP)
- ALM in a low interest rate environment – the impact and strategies

講師介紹

Dr. Guan Seng Khoo

Dr. Guan Seng Khoo is a risk management specialist with over 20 years' experience in education, design and implementation of enterprise-wide risk management models, systems, and processes. He was in charge of and has implemented enterprise risk management systems at five financial institutions, including Temasek Holdings, Singapore; Alberta Investment Management Corporation, Canada; RHB Capital, Malaysia; CAI, Singapore and Standard Chartered Bank, Singapore, where he was the Global Head & Managing Director, Group Risk Analytics, heading a global team that validated all global risk models for Basel and regulatory compliance.

企業金融人才

參加人員

課程大綱

講師介紹 Michael Goh

國際聯貸實務

International Syndicated Loan: Case studies and applications in Asia

8/16 (一)、8/17 (二)、8/19 (四)、8/20 (五) 每天 14:00-17:30

適合具 5~8 年 [企業金融](#)、[企業徵授信](#)、[海外分行](#) 等實務經驗之銀行從業人員

- Introduction of International Syndicated Loan
- Structural Analysis
- Documents and Analyzing Borrower's Cost
- Risks in Syndicated Loan and How to Manage the Risks
- Considerations for the Arranger

Michael specializes in Credit Risk Management programs- Policy & Marketing, Structuring & Evaluation, Rating Methodologies, Analysis & Approval, Collateral & Documentation, Restructuring and Work Out for different levels of management including Country CEOs and MDs. He is familiar with diverse banking businesses: Corporate & Investment Banking, SME Lending, Trade Finance, Transaction Banking and Retail Financing as well as Insurance and Micro Finance. Michael's career with financial institutions spanned over 30 years with DBS, ABN, CAI and AIG Group. As the Chief Credit & Chief Risk Officer overseeing the Asia Pacific Region, he was in charge of GRC ERM, Credit and Investment Approval, and Risk Management Operations for the Asia Pacific Region. He has managed diverse countries and portfolios covering Corporate & Investment Banking, Commercial SMEs Lending and Consumer Retail.

企業金融人才

參加人員

課程大綱

講師介紹 Susan Wong

國際聯貸合約文件擬定與審閱

International Syndicated Loan: Preparation and Review of the Agreement

8/19 (四)、8/20 (五)、8/23 (一)、8/24 (二) 每天 14:00-17:30

適合具 5~8 年 [企業金融](#)、[企業徵授信](#)、[法務](#)、[海外分行](#) 等實務經驗之銀行從業人員

- Key elements in a syndicate agreement
- Common clauses in a syndicate agreement, and how to negotiate and examine the wording of the clause
- How to prepare and to review the necessary documents
- Terms and conditions in the documents that a banker should be careful about
- What will the clauses differ for a lead bank/ participating banks bank

Susan Wong is a Partner of WongPartnership, an award-winning law firm headquartered in Singapore. Susan heads the Financial Services Group, she is also the Head of the Banking & Finance Practice. She has more than 30 years of experience in banking and finance work and is involved in a wide range of local and international loan and other debt-related transactions including project, acquisition, investment and business trusts, syndicated and club loans, cross-border financing, risk and funded subparticipations, securitisations, sale of loans and receivables and security arrangements and documentation.

企業金融人才

參加人員

課程大綱

講師介紹

Brendan Herley

國際聯貸市場解析

Advanced Workshop on Global Overview and Trends of the Syndicated Loan Market

9/30 (四)、10/5 (二) 每天 09:00-12:30

適合具 5~8 年 [企業金融](#)、[企業徵授信](#)、[海外分行](#) 等實務經驗之銀行從業人員

- Introductory Overview
- Overview of US loan market - high grade, high yield (leveraged) and 'middle market'
- Overview of Canadian and Latin loan markets
- Overview of EMEA loan market
- Overview of APAC loan market
- Overview of Multilateral and ESG activities (from infra projects to micro-lending)
- Closer look at US markets (macro issues, LIBOR transition, CLOs, distressed debt)
- Overview of Today's Marketplace (pricing trends in primary and secondary markets)

Brendan was the Director of Loan Syndications and Risk Mitigation, Financial Institutions Group in Wells Fargo Bank, NY for 5 years.

Before joining Wells Fargo, he was the Director of Syndications Distribution at Standard Chartered Bank, NY, for 9 years. Brendan is an experienced loan and trade finance banker with broad global market knowledge and strong collaborative spirit. He regularly provides advice to client and colleagues related to prevailing market conditions, potential risk and their impact on profitability. His expertise includes pricing primary market loans to clients across the Americas.

法令遵循人才

如何有效防範貿易融資洗錢活動

Combating Trade-based Money Laundering

6/3 (四)、6/4 (五)、6/7 (一)、6/8 (二) 每天 14:00-17:30

參加人員

適合具 5~8 年 洗錢防制、法令遵循、稽核、OBU、進出入外匯 等實務經驗之銀行從業人員

課程大綱

※符合銀行業防制洗錢及打擊資恐在職訓練 14 小時進修認可

- Why we need to talk about Trade Based Money Laundering (TBML)
- TBML techniques – identifying key red flags in trade finance transaction
- Best Practices to identify and prevent TBML
- Examination of Letters of Credit: How and what are the details we might have missed?
- Policy and Issues – review of regulatory trends from the UK, US and Asia

講師介紹

Dr. Ramandeep Chhina

Dr. Chhina did her second masters (MSt in Legal Research) and doctorate (DPhil) from the University of Oxford. She is a qualified advocate in India and also a qualified non-practising solicitor of England and Wales, UK. Dr. Chhina's research interests are in the area of transnational commercial law with particular focus on the issues surrounding abstract payment undertakings used in international commercial transactions i.e. letters of credit and demand guarantees.

- Consultant, EU/Council of Europe Partnership for Good Governance Georgia Project «Combating Money Laundering and Terrorist Financing» (PGGGE)

法令遵循人才

國際洗錢防制實務

International Anti-Money Laundering Practices

6/16 (三)、6/17 (四)、6/18 (五)、6/21 (一) 每天 14:00-17:30

參加人員

適合具 5~8 年 洗錢防制、法令遵循、稽核、內控 等實務經驗之銀行從業人員

課程大綱

※符合銀行業防制洗錢及打擊資恐在職訓練 14 小時進修認可

- Update on the international AML regulations
- COVID 19 Related Money Laundering and Terrorist Financing Risks (FATF)
- Update on money laundering typologies and countermeasures

講師介紹

Dr. Ramandeep Chhina

Dr. Chhina did her second masters (MSt in Legal Research) and doctorate (DPhil) from the University of Oxford. She is a qualified advocate in India and also a qualified non-practising solicitor of England and Wales, UK. Dr. Chhina's research interests are in the area of transnational commercial law with particular focus on the issues surrounding abstract payment undertakings used in international commercial transactions i.e. letters of credit and demand guarantees.

- Consultant, EU/Council of Europe Partnership for Good Governance Georgia Project «Combating Money Laundering and Terrorist Financing» (PGGGE)

法令遵循人才

金融犯罪防制與國際制裁(Sanction)實務

Financial Crime Prevention and International Sanction

8/23 (一) ~ 8/26 (四) 每天 14:00-17:30

參加人員

適合具 5~8 年 洗錢防制、法令遵循、稽核、內控 等實務經驗之銀行從業人員

課程大綱

※符合銀行業防制洗錢及打擊資恐在職訓練 14 小時進修認可

- International Money Laundering Frameworks and Conventions
- Overview of the Risk-Based Approach
- KYC Due Diligence
- On-Boarding and Beneficial Ownership
- Emerging AML Trends and Threats
- Global Sanctions architecture
- UNSCR's, CAATSA and JCPOA, OFAC 50% Rule
- Terrorist Resourcing & Proliferation finance
- Correspondent Banking
- OFAC Framework for a Sanctions Compliance Program
- Practical terms to minimize Corruption Risk

講師介紹

Sanjeev Gathani

A Privacy, Governance, Risk and Compliance Professional with more than 20 years of experience in the Asia Pacific Region and the currently the Group Compliance Officer of a pharmaceutical company and Course Facilitator of Better Business Governance – APAC Pte Ltd. The FIRST Batch of participants of the Cybersecurity Practitioner Induction – CSPI that was jointly conducted by ISACA Singapore Chapter and Nanyang Polytechnic and NTUC Institute in Singapore and be awarded with Certificate of Achievement.

Specialisation: Corporate Governance, Sarbanes Oxley, J-SOX, Internal Audit, Fraud Management, Compliance to Foreign Corrupt Practices Act

衍生性金融商品合約與 ISDA 規範契約條款及爭議協商

法令遵循人才

Analysis of ISDA Master Agreement and Credit Support Annex and
Negotiation Skills

9/23 (四)、9/24 (五)、9/27 (一)、9/28 (二) 每天 14:00-17:30

參加人員

適合具 5~8 年 金融市場、風險管理、衍生性金融商品業務稽核 等
實務經驗之銀行從業人員

課程大綱

- ISDA Documentation Architecture
- Types of Transactions covered by the ISDA Master Agreement
- Exercise: Case Study - ISDA Schedule
- Background of collateral
- The ISDA Credit Support Annex (English law)
- Credit Input in Collateral Arrangements
- Legal issues relating to collateral
- SIMM and its applications
- Exercise: Case Study - Par. 11 of Credit Support Annex

講師介紹
Mark C. Olie

Mark has worked for and advised all major Dutch financial institutions (Rabobank, ING Bank and ABN AMRO Bank) and energy companies (Nuon and Essent) on financial and energy derivatives agreements and ancillary documentation.

In 2009, Mark co-drafted a suite of derivative contracts, related policies and procedures for the "new" ABN AMRO Bank that was separated from Royal Bank of Scotland and organized and supervised the novation of hundreds of ISDA Master Agreements in view of the legal demerger of the bank.

法令遵循人才

美國法令遵循最新規範與案例解析

U.S. Banking Regulations: Updates and Case Studies

(暫定) 10/18 (一)、10/19 (二) 每天 09:00~12:30

參加人員

適合具 5~8 年 洗錢防制、法令遵循、稽核、內控、海外分行 等實務經驗之銀行從業人員

課程大綱

※符合銀行業防制洗錢及打擊資恐在職訓練 7 小時進修認可

- Trends in U.S. Banking Supervision
- The Latest Regulations on Financial Services, including Introduction of Anti-Money Laundering Act of 2020 (2020 AMLA)
- Some Case Studies on Failures of AML/CFT and sanctions compliance within U.S.

講師介紹 Gregory Lisa

Gregory Lisa uses his extensive firsthand experience in AML and consumer protection to help financial institution clients navigate the complex regulations and expectations of regulators, exam teams, and law enforcement agencies, civil and criminal. Before joining the firm, Greg was the Interim Director of the Office of Compliance and Enforcement at the FinCEN, the Treasury Department's lead regulator for overseeing and enforcing anti- money laundering laws. During his tenure, he supervised and conducted various investigations, supervisory exams, and enforcement actions across FinCEN's broad jurisdiction, including large depository institutions, global securities firms, casinos, cryptocurrency exchanges, and other institutions.

ESG 永續金融人才

ESG 國際資訊揭露標準

International Standard ESG Information Disclosure

6/28 (一) ~ 7/1 (四) 每天 14:00-17:30

參加人員

適合具 5~8 年 [策略/規劃/企劃](#)、[企業社會責任](#)、[公關](#) 等實務經驗之銀行從業人員

課程大綱

- Introduction to ESG, Responsible Investing and Sustainability
- How Investors use ESG Data
- ESG Factors & Themes
- Standards and Regulations
- ESG Ratings
- ESG Ratings and Share Price Performance
- Developing an ESG Strategy and Improving ESG Ratings

講師介紹 Judith Stroehle

Judith Stroehle is a Senior Research Fellow at the Saïd Business School in Oxford and Programme Lead of the Oxford Rethinking Performance Initiative. Judith's research broadly focuses on the role of finance, accounting, and reporting for implementing responsible business. Her recent work explores issues such as the methods, benefits and challenges of non-financial measurement, sustainable investing and accounting, and ESG engagement strategies, and the relationships between diverse sets of frameworks, standards and measures (ESG, SDG, Integrated Reporting, SASB, GRI, Impact Valuation, etc.).

She teaches Accounting and Responsible Business and co-established the Oxford Impact Roundtable for sustainability reporting and accounting in 2018. Judith holds a doctoral degree (PhD Europeaus) in the Auditability of Social Standards in Global Supply Chains.

ESG 永續金融人才

ESG 導入制度流程

Processing of ESG Implement

7/5 (一) ~ 7/6 (二) 每天 14:00-17:30

參加人員

適合具 5~8 年 策略/規劃/企劃、企業社會責任 等實務經驗之銀行從業人員

課程大綱

- Introduction to ESG in banking
- ESG integration approach
- Implementation
 - ◇ Operating Model
 - ◇ Case Study
- Operating Model – soft controls
- Budgeting and capital allocation
 - ◇ Incorporate ESG in current systems and processes
 - ◇ ESG screening and assessment
 - ◇ Client risk classification

講師介紹

Thomas Verhagen
&
Clarisse Simonek

Thomas Verhagen is a former banker specialized in sustainable finance. His banking career encompassed roles in client coverage, risk management, financial restructuring and product innovation. Across the Americas and EMEA, Thomas has worked with banks, asset managers, pension funds, DFIs, social enterprises, financial regulators, FinTechs and universities.

Clarisse Simonek is an investment professional specialized in responsible investment, with executive and non-executive experience. She has worked for asset owners, fund managers, social enterprises, and universities across Europe and the Americas, and bridges academia and practice to deliver ambitious yet pragmatic outcomes.

公司治理實務

Corporate Governance Practices

7/21 (三) ~ 7/22 (四) 14:00-17:30

參加人員

適合 銀行總行部處室 或 一級分行以上之正副主管，或具 5~8 年 策略/規劃/企劃、企業社會責任 等實務經驗之銀行從業人員

課程大綱

※本課程為董監事/公司治理法定認可班次

- Corporate Governance
- Governance & Conduct
- Ethics Fundamentals
- Critical Elements of Ethical Thinking
- How to Make an Ethical Decision
- Corporate Maturity
- Conflicts of Interest & Reporting

講師介紹 Sanjeev Gathani

A Privacy, Governance, Risk and Compliance Professional with more than 20 years of experience in the Asia Pacific Region and the currently the Group Compliance Officer of a pharmaceutical company and Course Facilitator of Better Business Governance – APAC Pte Ltd. The FIRST Batch of participants of the Cybersecurity Practitioner Induction – CSPI that was jointly conducted by ISACA Singapore Chapter and Nanyang Polytechnic and NTUC Institute in Singapore and be awarded with Certificate of Achievement.

Specialisation: Corporate Governance, Sarbanes Oxley, J-SOX, Internal Audit, Fraud Management, Compliance to Foreign Corrupt Practices Act

ESG 永續金融人才

ESG 投資方法與風險評估

Investment Method and Risk Evaluation of ESG Products

8/16 (一) ~ 8/17 (二) 每天 14:00-17:30

參加人員

適合具 5~8 年 [財務](#)、[投資管理](#)、[金融市場](#)、[風險管理](#) 等實務經驗之銀行從業人員

課程大綱

- Introduction to ESG, Responsible Investing and Sustainability
- ESG Factors & Themes
- ESG and Share Price Performance
- ESG Materiality
- ESG Ratings and Metrics
- Introduction to ESG Strategies and Products
- How ESG and Fixed Income Differs from Share Price Affects
- Standards and Regulation

講師介紹 Judith Stroehle

Judith Stroehle is a Senior Research Fellow at the Saïd Business School in Oxford and Programme Lead of the Oxford Rethinking Performance Initiative. Judith's research broadly focuses on the role of finance, accounting, and reporting for implementing responsible business. Her recent work explores issues such as the methods, benefits and challenges of non-financial measurement, sustainable investing and accounting, and ESG engagement strategies, and the relationships between diverse sets of frameworks, standards and measures (ESG, SDG, Integrated Reporting, SASB, GRI, Impact Valuation, etc.). She teaches Accounting and Responsible Business and co-established the Oxford Impact Roundtable for sustainability reporting and accounting in 2018. Judith holds a doctoral degree (PhD Europeus) in the Auditability of Social Standards in Global Supply Chains.

可再生能源模型

Finance Modeling of Renewable Energy

8/19 (四) ~ 8/20 (五) 每天 14:00-17:30

參加人員

適合具 5~8 年 [投資管理](#)、[金融市場](#)、[企業金融](#)、[企業社會責任](#) 等實務經驗之銀行從業人員

課程大綱

- Introduction to Project Finance
- Typical Investment Structures
- Key Funding Metrics – Definitions and Meaning
- Funding Structures
- The project finance model
- Introduction to two illustrative project finance renewables models
- Navigating, using and reviewing a project finance model

講師介紹

Dr. Penelope Lynch

Dr Penelope Lynch has been involved in financial modelling for project finance since 1981, when she joined the financial modelling team at Morgan Grenfell where she spent eight years writing models for numerous energy and project-finance deals. She then joined Chase Investment bank where she spent four years in the project finance team.

She now works as an independent consultant specialising in modelling assignments for banks and a variety of lenders on projects including energy, renewable energy and infrastructure.

Penny has a 1st class honours BSc in Mathematical Sciences and a PhD in mathematical modelling. She is the author of 'Project Finance Modelling' (Euromoney).

ESG 永續金融人才

ESG 投資發展現況

Current Situation and Development in ESG Investment Market

9/15 (三) ~ 9/16 (四) 每天 14:00-17:30

參加人員

適合具 5~8 年 投資管理、金融市場、策略/規劃/企劃、企業社會責任 等實務經驗之銀行從業人員

課程大綱

- How to evaluate investment using ESG scores and ratings
- The international trend of SDGs related issues
- Six principles for responsible investment

講師介紹 Kevin Boyle

Kevin has over 10 years' experience as a senior private banker and institutional investment consultant at Coutts and Aon. Prior to joining Fitch Learning, Kevin worked with JPM Asset Management developing ESG capabilities of Client Advisor teams.

Kevin has been training for 10 years, during which time he has developed, managed and trained programs for global and regional Private Wealth Management clients in all the major banking centers. Kevin's consultative training style and extensive industry experience ensure that he is not only a popular choice of trainer but is able to deliver challenging and practical programs to a wide audience, from new graduates to senior wealth managers, investment professionals and bankers.

ESG 會計揭露原則與方法

Accounting Standards and Disclosure of ESG

9/16 (四) ~ 9/17 (五) 每天 14:00-17:30

參加人員

適合具 5~8 年 [財務](#)、[投資管理](#)、[企業社會責任](#) 等實務經驗之銀行從業人員

課程大綱

- Introduction to SASB
- The latest trend of non-financial disclosure
- The importance of non-financial information in the mid long term forecast
- How to use financial statements to analyze corporate governance
- SASB standards and sustainability reporting

講師介紹 Paul Rogers

Paul specializes in corporate financial reporting (focusing on IFRS and US GAAP), statutory auditing and credit analysis designing and delivering tailored programmes for clients across all the major banking silos His belief is that training should be tailored and engaging based on sound theory and enjoyable and practical designed to give participants skills and techniques that will be immediately applicable in the workplace and beyond, plus the motivation and confidence to use them.

His career started in accountancy with one of the Big Four, before moving to Hermes ATS where he held the position of Finance Director. He then transferred into a career in training and was appointed as a main board director of a national financial training company, responsible for product development and client management and liaison for one of the big four accounting firms and presenting courses in Eastern Europe.



IABTP

International Advanced Banking Training Program

銀行業核心人才培訓計畫國際課程

